



The SASPY Group LLC



KNOW YOUR CLIENT PACKET REQUIREMENTS

A. Private companies		
Full details must be provided as required		
All private companies should be identified by obtaining the following information	· Full name	
	· Registered number	
	· Registered office in country of incorporation	
	· Business address	
Additional information required	· Names of all directors (or equivalent)	
	· Names of all beneficial owners (over 10%)	
Verification of identity of the company to be confirmed by:	· A copy of the company's Certificate of Incorporation (or equivalent)	
	· Proof of all addresses	
	· List of Directors and principal controllers (if not directors)	
	· List of Shareholders - up to ultimate beneficial owner(s) *	
Ultimate Beneficial Owner(s) / controllers		
<i>All owners/controllers owning 10% or more interest must be identified. Verification of identity of principal controllers / beneficial/owners/ shareholders may be required.</i>		

This is the info/docs needed to submit to my refinery for their Due Diligence for approval to receive gold into my account. Due Diligence will be conducted to confirm your supply source and that we are following Anti-Money Laundering, Non-Conflict Mineral Sourcing Policy as indicated by the U.N and abiding by US law. If there are certain documents, you cannot submit please indicate which and the reason, so I can explain.

- Seller KYC packet
 - Corp. reg.,
 - Shareholder disclosure (10% holding or more)
 - Passport copy (for all owners/partners/signatory)

- Gold info (past performance docs)
 - Previous Assay report, Amount, Packing
 - Cert of origin
 - Cert of ownership
 - Government export license/PMMC License
 - Name of Mine w/ copy of mining license



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Seller: Is the Seller the mine owner? ***The actual mine SHOULD be identified because it is hard to know where the gold really comes from.*** Furnish the Official and complete name of the Seller's Company, history of company's operation, all approvals, licenses and documents from governmental authorities to process precious metals. List of all owners and their passports or ID's. Does Seller export his precious metal, if so, to what countries?

Seller's Export Documents: Seller needs to submit all of their export and governmental documentation typically used in these types of international precious metals transactions (s) for review the Refinery.

Please provide TSG with your Anti-Money Laundering (AML) Policy and Responsible Sourcing/Conflict Mineral (RS/CM) Policy for review or request to review TSG's AML and RS Policy if you don't have any in place to be sure you are willing and able to abide by the Policies.

Upon review and acceptance, TSG will conduct an in person verification of the provided documents before entering into any final supply agreements and ALL AGREEMENTS are subject to TSG's Refinery Approval to receive goods under our account at the Refinery.